

**FILED**

NOV 15 2005

CLERK, U.S. DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA  
BY [Signature]  
DEPUTY CLERK

IN THE UNITED STATES DISTRICT COURT FOR THE  
EASTERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA,

Plaintiff,

v.

HERIBERTO RAMOS, JR.,

Defendant.

CIV. NO. S-04-0819 EJG  
CR. NO. S-03-0082 EJG

ORDER DENYING MOTION TO  
VACATE, SET ASIDE OR CORRECT  
SENTENCE

Defendant, a federal prisoner proceeding pro se, has filed a motion to vacate, set aside or correct his sentence pursuant to 28 U.S.C. § 2255. After reviewing the record and the applicable law, the court has determined that the motion may be decided without a hearing because the files and records of the case affirmatively show the factual and legal invalidity of defendant's motion. Shah v. United States, 878 F.2d 1156, 1158-59 (9<sup>th</sup> Cir. 1989). For the reasons set forth below, the motion is DENIED.

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Background

On September 2, 2003, defendant pled guilty to one count of misprision of a felony, in violation of 18 U.S.C. § 4, for concealing his knowledge of a drug trafficking offense. He was sentenced November 14, 2003 to a term of 21 months imprisonment and 12 months supervised release. Pursuant to the terms of his plea agreement, defendant waived his rights to appeal and collaterally attack his sentence. However, seven months after his conviction, and despite the language of his plea agreement, defendant has filed the instant motion seeking to vacate, set aside or correct his sentence pursuant to 28 U.S.C. § 2255, raising a number of substantive challenges to his plea and sentence.<sup>1</sup>

Discussion

As part of his plea agreement the defendant agreed to waive his right to appeal his sentence and conviction as long as the sentence was consistent with the parties' stipulations contained in the plea agreement concerning sentencing guideline variables. In addition, defendant also waived his right to collaterally attack his plea and sentence. Plea Agreement, 7:11-20 (attached as Exhibit 1). Defendant's waiver of his appellate rights is enforceable as long as the waiver encompasses the grounds raised in the challenge and is knowingly and voluntarily made. United

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<sup>1</sup>Due to administrative oversight, this motion has been under submission since July of 2004. The court regrets the delay in resolution of the motion.

1 States v. Joyce, 357 F.3d 921, 922-23 (9<sup>th</sup> Cir. 2004) (upholding  
2 waiver of appellate rights); United States v. DeJarnette, 63 Fed.  
3 Appx. 284 (9<sup>th</sup> Cir. 2003) (upholding waiver of appeal and  
4 collateral attack).

5 Here, defendant's waiver is broad, relinquishing "any right  
6 he may have to bring a post-conviction attack on his conviction  
7 or his sentence" and specifically agreeing "not to file a motion  
8 under 28 U.S.C. § 2255." Plea Agreement, 7: 17-19. Such broad  
9 language clearly covers the issues raised in his motion. This is  
10 not an instance where the plea agreement provides a limited  
11 waiver, listing exceptions in which appellate or post-conviction  
12 attacks are contemplated. See e.g. United States v. Aureoles,  
13 2005 WL 661433 (9<sup>th</sup> Cir., March 22, 2005) (plea agreement  
14 expressly reserved right to bring post-conviction attack based on  
15 ineffective assistance of counsel)<sup>2</sup>; United States v. Shimoda,  
16 334 F.3d 846 (9<sup>th</sup> Cir. 2003) (defendant retained right to  
17 challenge upward departure in collateral attack).

18 In fact, in the instant case the only limitation attached to  
19 the waiver of appeal was that defendant's sentence be in  
20 accordance with the guideline stipulations contained in the plea  
21 agreement, that is a base offense level of 19, no opposition by  
22

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23 <sup>2</sup> Pursuant to Rule 36-3 of the Rules of the Ninth Circuit Court of Appeals, unpublished  
24 dispositions are not precedent and may not be cited except in certain limited circumstances.  
25 Accordingly, while Aureoles has no precedential value, it is cited because it directly addresses  
26 the factual issue raised in the instant case, namely specificity of limited waivers of appellate  
rights and, therefore, is persuasive authority.

1 the government to a three-level reduction from the offense level  
2 for acceptance of responsibility, and a sentence at the low end  
3 of the guidelines, all of which occurred. Plea Agreement, 7:5-6.

4 Finally, defendant has not alleged or demonstrated that his  
5 waiver was either involuntary or unknowing. To the contrary,  
6 during the plea colloquy he was questioned extensively about both  
7 his knowledge of and his willingness to waive his appellate  
8 rights. Defendant was specifically asked by the court whether he  
9 understood the rights he was waiving, whether he had questions  
10 about the waiver, and whether his waiver was knowingly and  
11 voluntarily made. Transcript of Rule 11 Proceedings, 9: 17-27  
12 (attached as Exhibit 2).

13 Conclusion

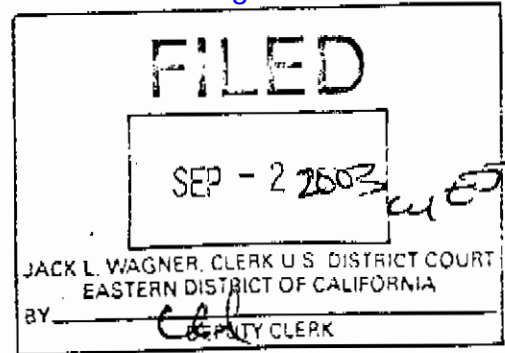
14 Because defendant has waived his right to collaterally  
15 attack his sentence, the motion is DENIED.

16 IT IS SO ORDERED.

17 Dated: November 14, 2005

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19 EDWARD J. GARCIA, JUDGE  
20 UNITED STATES DISTRICT COURT  
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McGREGOR W. SCOTT  
United States Attorney  
MARY L. GRAD  
Assistant U.S. Attorney  
501 I Street, Suite 10-100  
Sacramento, California 95814  
Telephone: (916) 554-2763



IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA,  
Plaintiff,  
v.  
HERIBERTO RAMOS, JR.,  
Defendant.

CR. No. S-03-082-EJG

PLEA AGREEMENT

I.

INTRODUCTION

A. Scope of Agreement: The Indictment in this case charges the defendant with conspiracy to distribute and possess with intent to distribute methamphetamine and cocaine and with use of a telephone to facilitate a drug trafficking offense. This document contains the complete Plea Agreement between the United States Attorney's Office for the Eastern District of California (the "government") and the defendant regarding this case. This Plea Agreement is limited to the United States Attorney's Office for the Eastern District of California and cannot bind any other federal, state, or local prosecuting, administrative, or regulatory authorities.

B. Court Not a Party: The Court is not a party to this Plea Agreement. Sentencing is a matter solely within the discretion of the Court, the Court is under no obligation to accept any recommendations made by the government, and the Court may in its discretion impose any sentence it deems appropriate up to and including the statutory maximum stated in this Plea Agreement. If the Court should impose any sentence up to the maximum established by the statute, the defendant cannot, for that reason alone, withdraw his guilty plea, and he will remain bound to fulfill all of the obligations under this Agreement. The defendant understands that neither the prosecutor, defense counsel, nor the Court can make a binding prediction or promise regarding the sentence he will receive.

## II.

## DEFENDANT'S OBLIGATIONS

A. **Guilty Pleas:** The defendant will plead guilty to the Superseding Information charging misprision of felony in violation of 18 U.S.C. § 4. The defendant agrees that he is in fact guilty of these charges and that the facts set forth in the Factual Basis attached hereto as Exhibit A are accurate.

B. **Special Assessment:** The defendant agrees to pay a special assessment of \$100 at the time of sentencing by delivering a check or money order payable to the United States District Court to the United States Probation Office immediately before the sentencing hearing. The defendant understands that this Plea Agreement is voidable by the government if he fails to pay the assessment prior to that hearing.

C. **Agreement to Cooperate:** The defendant agrees to cooperate



1 fully with the government and any other federal, state, or local law  
2 enforcement agency, as directed by the government. As used in this  
3 Agreement, "cooperation" requires the defendant: (1) to respond  
4 truthfully and completely to all questions, whether in interviews,  
5 in correspondence, telephone conversations, before a grand jury, or  
6 at any trial or other court proceeding; (2) to attend all meetings,  
7 grand jury sessions, trials, and other proceedings at which the  
8 defendant's presence is requested by the government or compelled by  
9 subpoena or court order; (3) to produce voluntarily any and all  
10 documents, records, or other tangible evidence requested by the  
11 government; (4) not to participate in any criminal activity while  
12 cooperating with the government; and (5) to disclose to the  
13 government the existence and status of all money, property, or  
14 assets, of any kind, derived from or acquired as a result of, or  
15 used to facilitate the commission of, the defendant's illegal  
16 activities or the illegal activities of any conspirators.

17 If the defendant commits any crimes or if any of the  
18 defendant's statements or testimony prove to be knowingly false,  
19 misleading, or materially incomplete, or if the defendant otherwise  
20 violates this Plea Agreement in any way, the government will no  
21 longer be bound by its representations to the defendant concerning  
22 the limits on criminal prosecution and sentencing as set forth  
23 herein. The determination whether the defendant has violated the  
24 Plea Agreement will be under a probable cause standard. If the  
25 defendant violates the Plea Agreement, he shall thereafter be  
26 subject to prosecution for any federal criminal violation of which  
27 the government has knowledge, including but not limited to perjury,  
28 false statements, and obstruction of justice. Because disclosures

1 pursuant to this Agreement will constitute a waiver of the Fifth  
2 Amendment privilege against compulsory self-incrimination, any such  
3 prosecution may be premised on statements and/or information  
4 provided by the defendant. Moreover, any prosecutions that are not  
5 time-barred by the applicable statute of limitations as of the date  
6 of this Agreement may be commenced in accordance with this  
7 paragraph, notwithstanding the expiration of the statute of  
8 limitations between the signing of this Agreement and the  
9 commencement of any such prosecutions. The defendant agrees to  
10 waive all defenses based on the statute of limitations or delay of  
11 prosecution with respect to any prosecutions that are not time-  
12 barred as of the date of this Agreement.

13 If it is determined that the defendant has violated any  
14 provision of this Agreement or if the defendant successfully moves  
15 to withdraw his plea: (1) all statements made by the defendant to  
16 the government or other designated law enforcement agents, or any  
17 testimony given by the defendant before a grand jury or other  
18 tribunal, whether before or after this Agreement, shall be  
19 admissible in evidence in any criminal, civil, or administrative  
20 proceedings hereafter brought against the defendant; and (2) the  
21 defendant shall assert no claim under the United States  
22 Constitution, any statute, Rule 11(e)(6) of the Federal Rules of  
23 Criminal Procedure, Rule 410 of the Federal Rules of Evidence, or  
24 any other federal rule, that statements made by the defendant before  
25 or after this Agreement, or any leads derived therefrom, should be  
26 suppressed. By signing this Agreement, the defendant waives any and  
27 all rights in the foregoing respects.

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III.

THE GOVERNMENT'S OBLIGATIONS

The government will recommend that the defendant be sentenced to a term of imprisonment at the bottom of the guideline range. The government agrees to move to dismiss the charges contained in the Indictment at the time of defendant's sentencing.

IV.

MAXIMUM SENTENCE

A. **Maximum Penalty:** The maximum sentence which the Court can impose is three year imprisonment, a one year period of supervised release, a fine of \$250,000, and a special assessment of \$100

B. **Violations of Supervised Release:** The defendant understands and agrees that if he violates a condition of supervised release at any time during the term of supervised release, the Court may revoke the term of supervised release and require the defendant to serve up to one additional year imprisonment.

V.

ELEMENTS OF THE OFFENSE

The defendant is pleading guilty to violation of 18 U.S.C. § 4, misprision of the felony of distribution of methamphetamine, a violation of 21 U.S.C. § 841(a)(1). For the defendant to be found guilty of this offense, the government would have to prove each of the following elements beyond a reasonable doubt: 1) Jorge Ramos was distributing methamphetamine to others and 2) the defendant became aware of this crime, did not disclose it to law enforcement authority, and assisted Jorge Ramos to avoid arrest by law enforcement by carrying Jorge Ramos cellular telephone, and informing Jorge Ramos of law enforcement surveillance and other

activities in the Stockton area when Jorge Ramos went to Las Vegas, NV.

VI.

SENTENCING DETERMINATION

A. Statutory Authority: The defendant understands that a sentencing guideline range for this case will be determined by the Court pursuant to the Sentencing Reform Act of 1984 (18 U.S.C. §§ 3551-3742 and 28 U.S.C. §§ 991-998). The defendant further understands that the Court will impose a sentence within that guideline range, unless the Court finds that there is a basis for departure (either above or below the range) because there exists an aggravating or mitigating circumstance of a kind, or to a degree, not adequately taken into consideration by the Sentencing Commission in formulating the guidelines.

B. Stipulations Affecting Guidelines Calculation: The government and the defendant agree that there is no material dispute as to the following sentencing guidelines variables and therefore stipulate to the following:

1. Base Offense Level: The government and the defendant agree that the narcotics distribution that the defendant concealed included amounts in excess of 500 grams of methamphetamine, a base offense level 32. Therefore, under the applicable guideline sections, the base level offense for this crime is 19.

2. Acceptance of Responsibility: If the United States Probation Office determines that a three-level reduction in defendant's offense level for his full and clear demonstration of acceptance of responsibility is appropriate under U.S.S.G.

1 § 3E1.1, the government will not oppose such a reduction.

2 VII.

3 WAIVERS

4 A. Waiver of Constitutional Rights: The defendant understands  
5 that by pleading guilty he is waiving the following constitutional  
6 rights: (a) to plead not guilty and to persist in that plea if  
7 already made; (b) to be tried by a jury; (c) to be assisted at trial  
8 by an attorney, who would be appointed if necessary; (d) to confront  
9 and cross-examine witnesses against him; and (e) not to be compelled  
10 to incriminate himself.

11 B. Waiver of Appeal and Collateral Attack: The defendant  
12 understands that the law gives him a right to appeal his conviction  
13 and sentence. He agrees as part of his plea, however, to give up  
14 this right, as long as his sentence is consistent with the  
15 stipulations set forth above about the sentencing guideline  
16 variables.

17 The defendant also gives up any right he may have to bring a  
18 post-conviction attack on his conviction or his sentence. He  
19 specifically agrees not to file a motion under 28 U.S.C. § 2255 or §  
20 2241 attacking his conviction or sentence.

21 If the defendant's conviction on any of the counts to which he  
22 is pleading is ever vacated at the defendant's request, or his  
23 sentence is ever reduced at his request, the government shall have  
24 the right (1) to prosecute the defendant on any of the counts to  
25 which he pleaded guilty; (2) to reinstate any counts that may be  
26 dismissed pursuant to this agreement; and (3) to file any new  
27 charges that would otherwise be barred by this agreement. The  
28 decision to pursue any or all of these options is solely in the

1 discretion of the United States Attorney's Office. By signing this  
2 agreement, the defendant agrees to waive any objections, motions,  
3 and defenses he might have to the government's decision. In  
4 particular, he agrees not to raise any objections based on the  
5 passage of time with respect to such counts including, but not  
6 limited to, any statutes of limitation or any objections based on  
7 the Speedy Trial Act or the Speedy Trial Clause of the Sixth  
8 Amendment.

9 VIII.

10 ENTIRE PLEA AGREEMENT

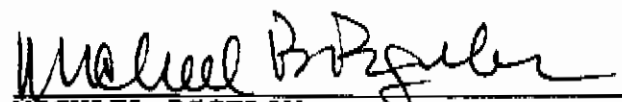
11 Other than this Plea Agreement, no agreement, understanding,  
12 promise, or condition between the government and the defendant  
13 exists, nor will such agreement, understanding, promise, or  
14 condition exist unless it is committed to writing and signed by the  
15 defendant, counsel for the defendant, and counsel for the United  
16 States.

17 IX.

18 APPROVALS AND SIGNATURES

19 A. Defense Counsel: I have read this Plea Agreement and have  
20 discussed it fully with my client. The Plea Agreement accurately  
21 and completely sets forth the entirety of the agreement. I concur  
22 in my client's decision to plead guilty as set forth in this  
23 Agreement.

24  
25 DATED: 9/2/03

  
MICHAEL BIGELOW  
Attorney for Defendant

27 B. Defendant: I have read this Plea Agreement and carefully  
28 reviewed every part of it with my attorney. I understand it, and I

1 voluntarily agree to it. Further, I have consulted with my attorney  
2 and fully understand my rights with respect to the provisions of the  
3 Sentencing Guidelines which may apply to my case. No other promises  
4 or inducements have been made to me, other than those contained in  
5 this Agreement. In addition, no one has threatened or forced me in  
6 any way to enter into this Plea Agreement. Finally, I am satisfied  
7 with the representation of my attorney in this case.

8 DATED: 9/2/03

Heriberto Ramos, Jr.  
9 HERIBERTO RAMOS, JR., Defendant

10 C. Attorney for United States:

11 I accept and agree to this Plea Agreement on behalf of the  
12 government.

13 DATED: 9/2/03

14  
15 MCGREGOR W. SCOTT  
United States Attorney

16  
17 By: Mary L. Grad

18 MARY L. GRAD  
Assistant U.S. Attorney  
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**EXHIBIT "A"**

**Factual Basis for Plea**

In August 2002, the defendant became aware that Jorge Ramos was distributing crystal methamphetamine, also known as Ice, as well as methamphetamine. On or about August 25, 2002, Jorge Ramos left the Stockton area and traveled to Las Vegas, Nevada. During the period that Jorge Ramos was away from Stockton, the defendant monitored law enforcement surveillance and other activities in the Stockton area and reported them to Jorge Ramos. The defendant did not make the crime known to law enforcement authorities but took affirmative steps to conceal the crime in August 2002 by providing information to Jorge Ramos that assisted Ramos in avoiding detection by law enforcement agents.



COPY

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

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THE UNITED STATES OF AMERICA,	)	
	)	
Plaintiff,	)	
	)	
vs.	)	Case No. Cr.S-03-082
	)	
HERIBERTO RAMOS,	)	
	)	
Defendant.	)	

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AMMENDED  
REPORTER'S TRANSCRIPT OF PROCEEDINGS  
HELD BEFORE THE HONORABLE EDWARD GARCIA  
JUDGE OF THE UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

ON SEPTEMBER 2, 2003

---oOo---

A P P E A R A N C E S

For the Plaintiff:	MARY GRAD Deputy U.S. Attorney U.S. Attorney's Office 501 I Street Sacramento, California 95814
For the Defendant:	MICHAEL BIGELOW Attorney at Law

REPORTED BY: PATRICIA A. HERNANDEZ, CSR #6875

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EXHIBIT 2

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PROCEEDINGS

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THE CLERK: Criminal S-03-082 United States versus  
Heriberto Ramos, Junior, and Ronnie Belasco, [ph.].

MS. GRAD: With respect to Mr. Belasko, your Honor, I do believe I have filed a dismissal of Mr. Belasko. I think that his court procedure entered State Court and he has been sentenced. I don't know whether that document has reached you or not, your Honor.

THE COURT: I don't have a copy of it but I recall seeing it and signing off.

MR. BIGELOW: Your Honor, if I may have just a moment to obtain my client's signature, please?

THE COURT: Yeah, my notes indicate, Miss Grad, that Ronnie Belasko was dismissed on July 31, '03, on written motion.

MS. GRAD: That sounds correct, your Honor.

THE COURT: Okay.

MR. BIGELOW: Your Honor, I'm handing you the signed plea agreement.

THE COURT: As I understand it in a written plea agreement, the Defendant Ramos offers to waive indictment and plead guilty to a one count information charging misprision of a felony, and he agrees to cooperate fully with the Government, and to waive appeal and collateral attack of the conviction and sentence.

In return, the Government agrees to recommend a

1 three-level reduction in offense level for Defendant's  
2 acceptance of responsibility, and to recommend a sentence  
3 at the low end of the guideline sentencing range, and to  
4 dismiss the underlying indictment as to the Defendant.

5 Is that the plea agreement, Miss Grad?

6 MS. GRAD: It is, your Honor.

7 MR. BIGELOW: Yes, your Honor, it is.

8 THE COURT: And Mr. Bigelow, you have discussed  
9 this with Mr. Ramos, and that is what he wants to do?

10 MR. BIGELOW: Yes, your Honor, it is.

11 THE COURT: Is that correct, Mr. Ramos?

12 THE DEFENDANT: Yeah.

13 THE COURT: Is that what you want to do --

14 THE DEFENDANT: Yes.

15 THE COURT: -- waive indictment and plead guilty to  
16 the superceding information?

17 THE DEFENDANT: Yes, sir.

18 THE COURT: Before accepting your guilty plea,  
19 there are a number of questions I will ask you to ensure  
20 that it is a valid plea, and I will have you sworn to tell  
21 the truth for that purpose.

22 If you do not understand any of the questions or at  
23 any time wish to consult with your attorney, please say so  
24 since it is important to a valid plea that you understand  
25 each question before you answer it.

26 Miss Clerk, please swear the Defendant.

27 THE CLERK: Mr. Ramos, you do solemnly swear that  
28 you will true answer make to such questions as shall be

1 put to you touching on your entry of plea, so help you  
2 God?

3 THE DEFENDANT: Yes.

4 THE COURT: Do you understand that having been  
5 sworn, your answers to my questions will be subject to the  
6 penalty of perjury or making a false statement if do you  
7 not answer truthfully?

8 THE DEFENDANT: Yes, sir.

9 THE COURT: I wish to advise you that you will be  
10 sentenced under the Federal Sentencing Guidelines, and I  
11 cannot now predict what your actual sentence will be. If  
12 I accept your plea of guilty today, your case will be  
13 referred to the U.S. Probation Office for a report and  
14 recommendation, after which I will determine your  
15 sentence. Until I receive that report, I simply don't  
16 know enough about you, your background or the details and  
17 circumstances of your offense to which you're offering to  
18 plead guilty to predict what your actual sentence might  
19 be.

20 Therefore, I advise you that if you plead guilty,  
21 you may be sentenced to a term higher than your attorney  
22 may be predicting or to the maximum term, and if your  
23 attorney is making a mistake in the guideline  
24 computations, that will not form a basis for you to  
25 withdraw your guilty plea; do you understand that?

26 THE DEFENDANT: Yes, sir.

27 THE COURT: Also, recommendations by the Government  
28 in return for your plea of guilty are but recommendations

1 only. They are not binding on the Court, and I may decide  
2 to impose a more severe sentence than that being  
3 recommended by the U.S. Attorney and your attorney; and if  
4 I should do that, you will not be entitled to withdraw  
5 your guilty plea. Do you understand that?

6 THE DEFENDANT: Yes, sir.

7 THE COURT: How old are you, Mr. Ramos?

8 THE DEFENDANT: Nineteen, sir.

9 THE COURT: How far did you go in school?

10 THE DEFENDANT: 12th grade.

11 THE COURT: Do you understand what is happening  
12 here this afternoon?

13 THE DEFENDANT: Yes.

14 THE COURT: Are you presently under the influence  
15 of alcohol or any drug or narcotic?

16 THE DEFENDANT: No, sir.

17 THE COURT: Counsel, do either of you have any  
18 doubts as to the Defendant's competence to plead guilty;  
19 Mr. Bigelow?

20 MR. BIGELOW: No, your Honor, I don't.

21 THE COURT: Miss Grad?

22 MS. GRAD: No, your Honor.

23 THE COURT: I find the Defendant is competent to  
24 plead at this time.

25 Have you had enough time now, Mr. Ramos, to discuss  
26 your case and your plea of guilty with your attorney?

27 THE DEFENDANT: Yes, sir.

28 THE COURT: Are you satisfied with your attorney's

1 representation?

2 THE DEFENDANT: Yes, sir.

3 THE COURT: Has the superceding information been  
4 filed yet, Miss Grad?

5 MS. GRAD: I just handed it to your Clerk, your  
6 Honor.

7 THE COURT: I've got it.

8 MS. GRAD: Now, I have prepared a waiver of  
9 indictment form which Mr. Bigelow has with him this  
10 morning, your Honor, or I mean this afternoon.

11 THE COURT: Okay. Let me read to you the charge  
12 that you're offering to plead guilty to, Mr. Ramos.

13 In the superceding information to be filed, the  
14 U.S. Attorney charges that you did on or about August,  
15 2002, in the State and Eastern District of California,  
16 have a knowledge of the actual commission of the felony  
17 recognizable by a Court of the United States; to wit,  
18 distribution of methamphetamine, that you did conceal the  
19 same by providing information to Jorge Ramos, that you  
20 assisted Jorge Ramos in avoiding detection by law  
21 enforcement agents, and did not as soon as possible make  
22 known the same to some judge or other person in civil or  
23 military authority under the United States.

24 Miss Grad, will you please advise the Defendant of  
25 the essential elements you would have to prove at trial  
26 beyond a reasonable doubt to convict the Defendant.

27 MS. GRAD: Yes, your Honor. For the Defendant to  
28 be found guilty of this offense, the Government would have



1 to prove each of the following elements beyond a  
2 reasonable doubt: First, that George Ramos was  
3 distributing methamphetamine to others.

4 Second, the Defendant became aware of this crime  
5 and did not disclose it to law enforcement authorities,  
6 and assisted Jorge Ramos to avoid arrest by law  
7 enforcement by carrying Jorge Ramos's cellular telephone  
8 and informing Jorge Ramos of law enforcement surveillance  
9 and other activities in the Stockton area when Jorge Ramos  
10 went to Las Vegas, Nevada.

11 THE COURT: Did you hear and understand the  
12 statement of the Prosecutor of the essential elements of  
13 the crime you're pleading guilty to, Mr. Ramos?

14 THE DEFENDANT: Yes.

15 THE COURT: Do you understand, then, the nature of  
16 the charge that you are offering to plead guilty to and  
17 what is necessary to constitute guilt of that offense?

18 THE DEFENDANT: Yes.

19 THE COURT: That offense is punishable by up to  
20 three years imprisonment, a one year period of supervised  
21 release, a fine of up to \$250,000 and a \$100 special  
22 assessment; do you understand the maximum penalties?

23 THE DEFENDANT: Yes, sir.

24 THE COURT: Are you a citizen of the United States?

25 THE DEFENDANT: No, sir.

26 THE COURT: Do you understand that your plea of  
27 guilty may result in your deportation, denial of  
28 naturalization or exclusion from the United States?

1 THE DEFENDANT: Yes, sir.

2 THE COURT: Are you presently on parole or  
3 probation?

4 THE DEFENDANT: No, sir.

5 THE COURT: I am now going to advise you of the  
6 Constitutional Rights you will be giving up if you plead  
7 guilty.

8 You have a right to plead not guilty and to stand  
9 by your plea of not guilty to the charge; do you  
10 understand that right?

11 THE DEFENDANT: Yes, sir.

12 THE COURT: You also have the right to a jury trial  
13 on the charge and to be represented by counsel at that  
14 trial; do you understand that right?

15 THE DEFENDANT: Yes, sir.

16 THE COURT: At your trial you have the right to see  
17 and hear and question the witnesses against you; do you  
18 understand that right?

19 THE DEFENDANT: Yes, sir.

20 THE COURT: And you also have the right to remain  
21 silent and not incriminate yourself; do you understand  
22 that right?

23 THE DEFENDANT: Yes, sir.

24 THE COURT: And do you understand that you cannot  
25 be convicted of this charge unless all twelve jurors  
26 agreed on your guilt beyond a reasonable doubt at your  
27 trial; do you understand that?

28 THE DEFENDANT: Yes, sir.

1           THE COURT: If I accept your guilty plea to this  
2 charge this afternoon, there will be no trial; that is, by  
3 pleading guilty your are giving up your right to a jury  
4 trial, your right to confront and question the witnesses  
5 against you and your right to remain silent and not  
6 incriminate yourself; do you understand that?

7           THE DEFENDANT: Yes, sir.

8           THE COURT: Now, other than what has been said here  
9 in open court or that may appear in your written plea  
10 agreement, has anyone made you any other promises in  
11 connection with penalty or punishment to get you to plead  
12 guilty?

13          THE DEFENDANT: No, sir.

14          THE COURT: Have you or anyone you know been  
15 threatened in any way to get to you plead guilty?

16          THE DEFENDANT: No, sir.

17          THE COURT: As part of your plea agreement, you  
18 agree to waive appeal and collateral attack of the  
19 conviction and sentence; do you understand these rights?

20          THE DEFENDANT: Yes, sir.

21          THE COURT: Do you have any questions about waiver  
22 of appeal and collateral attack?

23          THE DEFENDANT: No, sir.

24          THE COURT: Do you knowingly and voluntarily, then,  
25 waive your rights to appeal and collateral attack of the  
26 conviction and sentence?

27          THE DEFENDANT: Yes, sir.

28          THE COURT: Pardon?

1 THE DEFENDANT: Yes, sir.

2 THE COURT: Because you are waiving indictment, I  
3 want to advise you of your rights in that connection.

4 You are advised that you cannot be prosecuted by  
5 way of information unless you consent; that is because you  
6 have a Constitutional Right to have the Government proceed  
7 by way of indicting the charge, and you can't be indicted  
8 unless the Grand Jury found probable cause to believe you  
9 committed this crime.

10 Do you now wish to give up your right to be  
11 prosecuted by indictment, and do you consent to proceed by  
12 way of information and charge by the U.S. attorney?

13 THE DEFENDANT: Yes, sir.

14 THE COURT: Did you say you had a written waiver of  
15 indictment?

16 MR. BIGELOW: We do, your Honor, and I am signing  
17 it now, as is my client.

18 THE COURT: Mr. Ramos, did you sign this waiver of  
19 indictment freely and voluntarily with the advice of your  
20 lawyer and pursuant to the plea agreement?

21 THE DEFENDANT: Yes, sir.

22 THE COURT: It will be ordered filed also. The  
23 superceding information is also ordered filed.

24 What is your plea, then, Mr. Ramos, to violation of  
25 Section 4, Title 18, U.S. Code misprision of a felony in  
26 the superceding information as I read it to you, guilty or  
27 not guilty?

28 THE DEFENDANT: Guilty.

1 THE COURT: And are you pleading guilty to the  
2 charge freely and voluntarily and with the advice of your  
3 lawyer because, in fact, you are guilty of the offense?

4 THE DEFENDANT: Yes, sir.

5 THE COURT: Could I have a brief factual basis for  
6 the plea, Miss Grad?

7 MS. GRAD: Yes, your Honor.

8 In August 2002 the Defendant became aware that  
9 Jorge Ramos was distributing crystal methamphetamine, also  
10 known as "ice," as well as methamphetamine.

11 On or about August 25, 2002, Jorge Ramos left the  
12 Stockton area and travelled to Las Vegas, Nevada. During  
13 the period that Jorge Ramos was away from Stockton, the  
14 Defendant monitored law enforcement surveillance and other  
15 activity in the Stockton area and reported them to Jorge  
16 Ramos. The Defendant did not make the crime known to law  
17 enforcement authorities, but took affirmative steps to  
18 conceal the crime in August of 2002 that provided Jorge  
19 assistance in avoiding detection from law enforcement  
20 agents.

21 THE COURT: Is that what you did, Mr. Ramos?

22 THE DEFENDANT: Yes, sir.

23 THE COURT: The Defendant's plea of guilty is  
24 accepted, and the judgment of guilty is hereby entered.

25 The Court finds there is a factual basis for the  
26 plea of guilty, that the Defendant understands the nature  
27 of the charge and the consequences of the plea, that he  
28 understands his constitutional rights, and that the

1 Defendant's plea of guilty was freely and voluntarily  
2 made.

3 This matter will now be referred to the U.S.  
4 Probation Office for a report and recommendation.  
5 Sentencing will be postponed about ten weeks for that  
6 purpose.

7 THE CLERK: Friday, November 21st at 10:00 a.m.

8 THE COURT: We'll schedule sentencing for -- what  
9 did you say, January?

10 MR. BIGELOW: No. November, your Honor. November  
11 21st.

12 THE COURT: November 21, '03, at 10:00 a.m.

13 Anything else for now, Miss Grad?

14 MS. GRAD: No, your Honor. Thank you.

15 THE COURT: Mr. Bigelow?

16 MR. BIGELOW: No, your Honor.

17 THE COURT: All right. Thank you, counsel.

18 ---oOo---

19 [Whereupon court proceedings in the  
20 above-entitled matter concluded.]

21 ---oOo---